SYNTHETIC LUBRICANTS FOR REAL RESULTS
DOW Chemical knows its lubricants, and here provides an analysis of why PAGs are rising to the top of the synthetic market—especially in gearbox applications.

By Martin Greaves and Daryl Beatty
For centuries lubricants have been utilized as a way to reduce friction and wear on moving parts. In 2005, approximately 40 million tons of lubricants were produced globally by the industry [1]. While natural mineral oil-based fluids represent the majority of the market demand, many technological advances in equipment and machinery would not be possible without the benefits offered by improvements in synthetic lubricants, which currently make up only two percent of the market. While polyalphaolefins (PAOs) fill some of these needs, a growing number of applications are demanding higher performance requirements, or require distinct specifications that are not met by traditional lubricants.

One of the most versatile types of synthetics is polyalkylene glycol (PAG) lubricants. PAGs are generally known as compressor lubricants, and their use in industry has increased since the 1980s. Increasing performance standards in the automotive and industrial markets peg these sectors as areas that show promise for growth.

This article offers an overview of the main synthetic base stock chemistries and an in-depth analysis of the benefits and uses of PAGs.

**Synthetic Lubricant Base Stocks**

There are six major base stock types used in the development of synthetic lubricants, with each offering its own set of distinct properties and applications.

Diesters, or dibasic acid esters, were developed during World War II and are the reaction product of long-chain alcohols and carboxylic acids. Historically, they have been effective as reciprocating compressor lubricants due to their low coking tendency at temperatures of 400°F or higher. They also provide excellent solvency and detergency. The aggressiveness of diesters toward elastomers, seals and hoses has limited the usefulness of these fluids. Newer fluids, such as polyol esters, meet the needs of many applications formerly filled by diesters.

Polyol esters, or neo-pentyl poly esters, have largely replaced diesters in high-temperature applications where oxidative stability is critical. Common applications include their use as lubricants in aircraft engines, high-temperature gas turbines, hydraulic fluids, and as heat exchange fluids. They can also be used as a co-blended base-stock with PAOs to enhance additive solubility and reduce the tendency of PAOs to shrink and harden elastomers.

PAOs are hydrocarbon polymers manufactured by the catalytic oligomerization of linear alpha olefins like alpha-decene. They are considered high-performance lubricants and provide a high viscosity index and hydrolytic stability. PAOs are the most commonly used, and are generally less expensive than other synthetic lubricants. They have been used in passenger car motor oils, as well as numerous industrial lubricant applications.

Phosphate esters are valued in applications where safety and fire resistance are critical considerations, which include fire-resistant hydraulic fluids and aircraft fluids. High flash points and fire points enhance their resistance to ignition, and their low heat of combustion makes them excellent self-extinguishing fluids. However, they do have several weaknesses including poor hydrolytic stability, which can lead to the formation of aggressive acidic by-products. Care must be taken when used because they can also react and degrade a variety of commonly used sealants and paints.

Silicones are valued for their low volatility, inertness to most chemical contaminants and thermal stability in severe applications, as well as their performance in low-temperature environments. These qualities make them an excellent candidate for use as heat transfer fluids, specialty grease applications and DOT [Department of Transport] Type 5 automotive brake fluids. However, there are two limitations of silicones that must be considered for lubricating applications. First, they cannot be used in the cylinder lubrication of internal combustion engines because the combustion by-product is silicon dioxide. Second, extreme pressure performance is limited, and common extreme pressure additives are incompatible with them. In their proper applications, the fluid life and hydrolytic stability of silicones is unsurpassed.

PAGs offer quality lubricity, high natural viscosity index and good temperature stability. PAG base fluids are available in both water soluble and insoluble forms, and in a wide range of viscosity grades. They offer low volatility in high-temperature applications and can be used in high- and low-temperature environments. They are commonly used as quenchants, metalworking fluids, food-grade lubricants, and as lubricants in hydraulic and compressor equipment. Their use in gear systems, especially worm gears, is driving growth of PAGs in North America and Europe where their excellent film forming properties help distinguish them from other synthetics. However, the water soluble PAGs are incompatible with petroleum oil, and so care must be taken in transitioning equipment from hydrocarbon oils to PAGs.

**The Development of PAGs**

PAGs were one of the first synthetic lubricants to be developed and commercialized. They were created under mandate from the U.S. Navy in response to hydraulic fluid fires on ships resulting from ordnance strikes during World War II. In 1942, and for the next 30 years, the Navy began to exclusively use PAG-based water glycol hydraulic fluids.
that were fire-resistant and could operate over a wide temperature range. Later, PAGs began to see extensive use as textile lubricants and as quenchants in metal heat treating.

PAGs are classified by their weight percent composition of oxypropylene versus oxyethylene units in the polymer chain. PAGs with 100 weight percent oxypropylene groups are water insoluble, whereas those with 50 to 75 weight percent oxyethylene are water soluble at ambient temperatures.

Although PAGs have long been used as industrial lubricants, recent work has led to the development of PAG lubricants for use in equipment in the food processing industry. These products are known as food-grade approved lubricants. In these applications, they offer excellent lubricity, increased oxidative stability, a high viscosity index (180 to 280) and low pour points. They are one of the few synthetic substances identified in the U.S. Food and Drug Administration’s food additive regulation for food-grade lubricant base stocks, 21 CFR § 178.3570, for use in industrial machinery when incidental food contact with a lubricant may occur.

**PAG Applications and Benefits**

Because of the properties that make up PAG lubricants, they are exceptionally suited for a number of industrial and manufacturing applications. Their water solubility allows for easy clean-up of equipment. PAG lubricants offer high viscosity indexes, and are shear stable. PAGs are also valued for their low volatility in high-temperature applications, and for resis-

“In gearbox applications the naturally low coefficient of friction found in PAG fluids results in energy savings, lower temperatures, and lower wear rates.”

**STRESSED OUT ABOUT YOUR MOTION CONTROL SYSTEM?**

**AT LEAST WHEN YOU PURCHASE AN ENCODER FROM EPC, IT WILL BE ONE LESS THING TO WORRY ABOUT.**

**PUT YOUR MIND AT EASE**

**CALL THE FRIENDLY STAFF AT EPC TODAY AND FIND OUT JUST HOW EASY IT IS TO GET THE ENCODER YOU NEED, AND FAST.**

**800-366-5412**

**ENCODER PRODUCTS COMPANY**

464276 Highway 95 South • PO Box 249 • Sagle, ID 83860 Fax 208-263-0541 • www.encoder.com • sales@encoder.com
tance to formation of residue and deposits. Their biodegradability makes them attractive for environmentally sensitive applications.

PAGs are best known as compressor lubricants. PAGs are also the lubricant of choice in high-pressure natural gas and ethylene compression, where the viscosity stability of hydrocarbon-based lubricants is adversely affected due to solubility of the gas in the fluid. In refrigeration compression, PAG and polyol ester-type lubricants are used almost exclusively with the current generation of environmentally friendly hydrofluorocarbon (HFC) refrigerants such as R-134a and R-152a. The two largest U.S. air compressor Original Equipment Manufacturers have used PAG lubricants as the standard factory fill in rotary screw air compressors for almost 20 years. More recently, a third compressor OEM has begun to offer PAG as an optional fluid.

From the laboratory perspective, the condition of PAG fluids is relatively easy to monitor. In most applications, as the end of the useful life approaches, the only significant change is the increase in acid number (AN) from fluid oxidation. Depending on the additive package, fresh PAGs will typically have an AN of 0.1 to 0.5 mg KOH/g. An increase of 1.0 from the new fluid specification is a good condemning limit. Viscosity remains fairly stable, even during the latter stages of fluid life. Water limits may be set higher for PAGs than hydrocarbon fluids because they are more water tolerant than other fluid types. Even a “water insoluble” PAG will tolerate as much as 0.7 percent water contamination before allowing free water to exist in the fluid.

PAGs are also useful in industrial equipment operating year-round without seasonal changes. Their excellent heat transfer characteristics and thermal and oxidation stability make them ideal for use as heat transfer fluids in large, open vented systems and for process fluids in the production of plastics, elastomers, threads or fabricated parts where compatibility of the fluid with the processed part is important.

Textile fiber production is another industry that benefits from the use of PAGs. These lubricants do not stain or discolor fibers, and are easily removed during the scouring process. PAGs are also the lubricant of choice for many high-speed, high-temperature fiber processes where shear stability is a requirement. In addition, they are often used as lubricants in textile manufacturing equipment as extreme-pressure gear lubricants.

A renewed emphasis on energy conservation has increased interest in energy-efficient gear lubricants. For example, the extreme demands of gear lubrication in wind turbines are being met by PAGs. The low velocities and high surface loadings on the
gears in these units have resulted in micropitting problems with conventional hydrocarbon oils that have been overcome with PAG-based fluids. In other gearbox applications, especially worm gears, the naturally low coefficient of friction found in PAG fluids results in energy savings, lower temperatures, and lower wear rates compared to hydrocarbon products.

PAGs gain recognition too for their excellent environmental performance. Indeed demand for water soluble PAGs have increased in market segments such as marine, forestry and construction where PAGs can offer high levels of biodegradability (in tests such as OECD301F), low aquatic toxicity and are non-sheening in contrast to hydrocarbon derived products. Furthermore, some PAGs are an excellent choice for formulators of food processing lubricants where they offer excellent equipment reliability and are approved for such use [2].

**Versatility Meets Performance**

For more than 60 years, synthetic lubricants have provided a viable alternative to traditional hydrocarbon lubricants. Each type serves unique roles, with PAGs performing in both high- and low-temperature environments, in areas of extreme pressure and where water solubility is desired.

PAGs can be designed to form a wide variety of polymer architectures. The design of the polymer can be tailored to the lubricant application to provide, for example, the desired viscosity, pour point, solubility, and other attributes. This versatility and the applications in which they are used shows that PAGs account for about 24 percent of the worldwide synthetic lubricant market today. Low pour points, a wide range of viscosities, resistance to varnish formation, increased solvency and a wide range of solubility all add to PAG lubricants’ reputation as a high-performance synthetic lubricant on the market. With continuing emphasis on environmentally acceptable lubricants in industry, these qualities will continue to push PAGs to the forefront of the synthetic market.

**REFERENCES:**

(1) Competitive Intelligence for the Global Lubricant Industry, Kline Report, Presentation to ICIS, September 2006
(1) Lubricants for incidental food contact - 21CFR178.3570

**ABOUT THE AUTHORS:**

Martin Greaves is technology leader with UCON Fluids and Lubricants, The Dow Chemical Company. Daryl Beatty has recently retired from Dow. Visit online at [www.dow.com/uccon].

---

**The Thread and Form Milling Specialists**

**TURNING SPLINE HOBBING INTO A MILLING OPERATION!**

Advent Tool & Manufacturing introduces their indexable carbide involute spline form milling solution to industry. Utilizing custom ground form inserts and standard, precision ground bodies with a precise insert locking and locating system, Advent can turn hobbing operations into a true milling application! Drop us a line and see what we can do for your application!

---

**Yesterday’s Reliability Tomorrow’s Technology**

Fifty years of VARI-ROLL applications provide:
- Production Composite Inspection
- Custom Design & Build Part Gear Mounting Fixtures
- Standard Mounting Fixtures – Spur, Helicals, Pinion Shafts, Worms, Throated Worms, Bevels, Internals

When coupled with the VARI-PC Composite Gear Analysis System will provide:
- Reduced Inspection Cost
- Improved Accuracy
- Historical Record Keeping
- Serialization of Parts
- Interface to SPC programs

Experience the difference. See why customers worldwide have chosen the VARI-ROLL/VARI-PC. For further information, please contact us.

---

**About the Authors:**

Martin Greaves is technology leader with UCON Fluids and Lubricants, The Dow Chemical Company. Daryl Beatty has recently retired from Dow. Visit online at [www.dow.com/uccon].

---

**Gear Expo Booth #439**